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-and-

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Attorneys for Plaintiff

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**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA**

ANN DAUGHERTY, on behalf of herself
and all others similarly situated,

Case No. C 06 7725 PJH

Plaintiff,

v.

**STIPULATION FOR DISMISSAL OF
OPPENHEIMER ASSET
MANAGEMENT CO., INC AND
FAHNESTOCK & CO., INC**

OPPENHEIMER & CO., INC.;
OPPENHEIMER ASSET MANAGEMENT
CO., INC.; FAHNESTOCK & CO., INC.;
and DOES 1 through 10, inclusive,

1 All parties, by and through their attorneys of record, stipulate as follows:

2 WHEREAS, on December 18, 2006, Plaintiff Ann Daugherty ("Plaintiff") filed a
3 Class/Collective Action Complaint for Nonpayment of Compensation (the "Complaint")
4 against Oppenheimer & Co., Inc. ("Oppenheimer"), Oppenheimer Asset Management Co., Inc.,
5 (together with Oppenheimer, "Defendants"), Fahnestock & Co., Inc., and Does 1 through 10,
6 inclusive, in the United States District Court for the Northern District of California;

7 WHEREAS, on January 30, 2007 Oppenheimer Asset Management filed an Answer to
8 the Complaint claiming it was erroneously sued due to the fact that neither Plaintiff nor the
9 members of the putative class she purports to represent were employed by Oppenheimer Asset
10 Management;

11 WHEREAS, on March 29, 2007 a Case Management Conference was held during
12 which counsel for Defendants represented to the Court that Plaintiff did not work for
13 Oppenheimer Asset Management and that Oppenheimer Asset Management does not employ
14 Securities Brokers (as that term is defined in the Complaint);

15 WHEREAS, counsel for Defendants also represented to counsel for Plaintiff that
16 Fahnestock & Co. no longer exists as a corporate entity; and

17 WHEREAS, Fahnestock & Co. has not been served with the Complaint;

18 IT IS NOW HEREBY STIPULATED AND AGREED that:
19

- 20 1. Oppenheimer Asset Management Co., Inc. be dismissed, without prejudice,
21 from the above-captioned matter and that all parties bear their own costs with
22 respect to this dismissal; and
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2. Fahnestock & Co., Inc. be dismissed, without prejudice, from the above-captioned matter and that all parties bear their own costs with respect to this dismissal.

Dated: June 12, 2007

SCHIFFRIN BARROWAY
TOPAZ & KESSLER, LLP

AKIN GUMP STAUSS
HAUER & FELD LLP

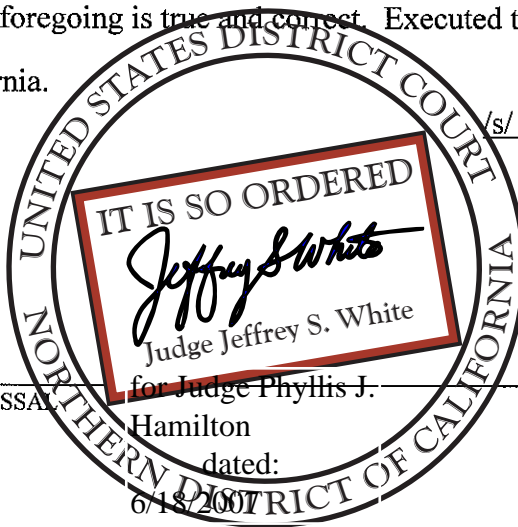
By: /s/
Alan R. Plutzik
Attorneys for Plaintiff

By: /s/
Karen J. Kubin
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Attestation Pursuant To General Order 45

I, Alan R. Plutzik, attest that concurrence in the filing of this document has been obtained from the other signatory. I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct. Executed this 18th day of May, 2007 at Walnut Creek, California.



/s/ Alan R. Plutzik
Alan R. Plutzik